量子計算的數學基礎 MA5501*

Ching-hsiao Cheng 量子計算的數學基礎 MA5501*

Chapter 4. Simon's Algorithm

- §4.1 Simon's Problem
- §4.2 The Quantum Algorithm
- §4.3 Classical Algorithms for Simon's Problem

イロン イヨン イヨン イヨン

臣

Simon's algorithm was the first quantum algorithm to show an **exponential speed-up** versus the best classical algorithm in solving a specific problem. This inspired the quantum algorithms based on the quantum Fourier transform, which is used in the most famous quantum algorithm: Shor's factoring algorithm.

Let $N = 2^n$, and identify the set $\{0, \dots, N-1\}$ with $\{0, 1\}^n$. Let $j \oplus s$ be the *n*-bit string obtained by bitwise adding the *n*-bit strings *j* and *s* mod 2; that is,

$$j \oplus s = ((j_1 \oplus s_1)(j_2 \oplus s_2) \cdots (j_n \oplus s_n))_2$$

 $j = (j_1 j_2 \cdots j_n)_2$ and $s = (s_1 s_2 \cdots s_n)_2$.

回 とう キャン・キャン

Simon's algorithm was the first quantum algorithm to show an **exponential speed-up** versus the best classical algorithm in solving a specific problem. This inspired the quantum algorithms based on the quantum Fourier transform, which is used in the most famous quantum algorithm: Shor's factoring algorithm.

Let $N = 2^n$, and identify the set $\{0, \dots, N-1\}$ with $\{0, 1\}^n$. Let $j \oplus s$ be the *n*-bit string obtained by bitwise adding the *n*-bit strings *j* and *s* mod 2; that is,

$$j \oplus \mathbf{s} = ((j_1 \oplus \mathbf{s}_1)(j_2 \oplus \mathbf{s}_2) \cdots (j_n \oplus \mathbf{s}_n))_2$$

if

$$j = (j_1 j_2 \cdots j_n)_2$$
 and $s = (s_1 s_2 \cdots s_n)_2$.

・ロッ ・回 ・ ・ ヨ ・ ・ ヨ ・

Simon's problem:

- Formulation 1: For N = 2ⁿ, we are given x = (x₀, · · · , x_{N-1}), with x_i ∈ {0,1}ⁿ, with the property that there is a unique but unknown nonzero s ∈ {0,1}ⁿ such that x_i = x_j if and only if (i = j or i = j⊕ s). Find s.
- Formulation 2: If f: {0,1}ⁿ → {0,1}ⁿ is either an one-to-one or a two-to-one function satisfying the property that there exists s ∈ {0,1}ⁿ such that f(i) = f(j) if and only if i = j or i = j⊕s. Determine the class to which f belongs to.

Note that the input $x = \{x_0, \dots, x_{N-1}\}$ now has variables x_i that themselves are *n*-bit strings, and one query gives such a string completely $|i\rangle|0^n\rangle \mapsto |i\rangle|x_i\rangle$.

イロト イヨト イヨト イヨト

Simon's problem:

- Formulation 1: For N = 2ⁿ, we are given x = (x₀, · · · , x_{N-1}), with x_i ∈ {0,1}ⁿ, with the property that there is a unique but unknown nonzero s ∈ {0,1}ⁿ such that x_i = x_j if and only if (i = j or i = j⊕ s). Find s.
- Formulation 2: If f: {0,1}ⁿ → {0,1}ⁿ is either an one-to-one or a two-to-one function satisfying the property that there exists s ∈ {0,1}ⁿ such that f(i) = f(j) if and only if i = j or i = j⊕s. Determine the class to which f belongs to.

Note that the input $x = \{x_0, \dots, x_{N-1}\}$ now has variables x_i that themselves are *n*-bit strings, and one query gives such a string completely $|i\rangle|0^n\rangle \mapsto |i\rangle|x_i\rangle$.

(日) (四) (三) (三) (三) (三)

Simon's problem:

- Formulation 1: For N = 2ⁿ, we are given x = (x₀, · · · , x_{N-1}), with x_i ∈ {0,1}ⁿ, with the property that there is a unique but unknown nonzero s ∈ {0,1}ⁿ such that x_i = x_j if and only if (i = j or i = j⊕ s). Find s.
- Formulation 2: If f: {0,1}ⁿ → {0,1}ⁿ is either an one-to-one or a two-to-one function satisfying the property that there exists s ∈ {0,1}ⁿ such that f(i) = f(j) if and only if i = j or i = j⊕s. Determine the class to which f belongs to.

Note that the input $x = \{x_0, \dots, x_{N-1}\}$ now has variables x_i that themselves are *n*-bit strings, and one query gives such a string completely $|i\rangle|0^n\rangle \mapsto |i\rangle|x_i\rangle$.

Chapter 4. Simon's Algorithm

§4.2 The Quantum Algorithm

Simon's algorithm starts in a state of 2^n zero qubits $|0^n\rangle|0^n\rangle$ and apply Hadamard transforms to the first *n* qubits, giving

$$\frac{1}{\sqrt{2^n}}\sum_{i\in\{0,1\}^n}|i\rangle|0^n\rangle.$$

A query turns this into

$$\frac{1}{\sqrt{2^n}}\sum_{i\in\{0,1\}^n}|i\rangle|x_i\rangle.$$

Now the algorithm measures the second *n*-qubit register in the computational basis; this measurement is actually not necessary, but it facilitates analysis. The measurement outcome will be some value x_i and the first register will collapse to the superposition of the two indices having that x_i -value:

$$\frac{1}{\sqrt{2}}(|i\rangle+|i\oplus s\rangle)|x_i\rangle.$$

(4) (E) (A) (E) (A)

Simon's algorithm starts in a state of 2^n zero qubits $|0^n\rangle|0^n\rangle$ and apply Hadamard transforms to the first *n* qubits, giving

$$\frac{1}{\sqrt{2^n}}\sum_{i\in\{0,1\}^n}|i\rangle|0^n\rangle.$$

A query turns this into

$$\frac{1}{\sqrt{2^n}}\sum_{i\in\{0,1\}^n}|i\rangle|x_i\rangle.$$

Now the algorithm measures the second *n*-qubit register in the computational basis; this measurement is actually not necessary, but it facilitates analysis. The measurement outcome will be some value x_i and the first register will collapse to the superposition of the two indices having that x_i -value:

$$\frac{1}{\sqrt{2}}(|i\rangle+|i\oplus s\rangle)|x_i\rangle.$$

★ E → ★ E →

Simon's algorithm starts in a state of 2^n zero qubits $|0^n\rangle|0^n\rangle$ and apply Hadamard transforms to the first *n* qubits, giving

$$\frac{1}{\sqrt{2^n}}\sum_{i\in\{0,1\}^n}|i\rangle|0^n\rangle.$$

A query turns this into

$$\frac{1}{\sqrt{2^n}}\sum_{i\in\{0,1\}^n}|i\rangle|x_i\rangle.$$

Now the algorithm measures the second *n*-qubit register in the computational basis; this measurement is actually not necessary, but it facilitates analysis. The measurement outcome will be some value x_i and the first register will collapse to the superposition of the two indices having that x_i -value:

$$\frac{1}{\sqrt{2}}(|i\rangle+|i\oplus s\rangle)|x_i\rangle.$$

.

We will now ignore the second register and apply Hadamard transforms to the first n qubits. Using

$$\mathbf{H}^{\otimes n}|i\rangle = \frac{1}{\sqrt{2^n}} \sum_{j \in \{0,1\}^n} (-1)^{i \bullet j} |j\rangle,$$

and the fact that $(i \oplus s) \bullet j = (i \bullet j) \oplus (s \bullet j)$ (which is a direct consequence of $(i_k \oplus s_k) \cdot j_k = (i_k \cdot j_k) \oplus (s_k \cdot j_k)$ for all $i_k, s_k, j_k \in \{0, 1\}$), we can write the resulting state as

$$\begin{aligned} \mathrm{H}^{\otimes n} \Big(\frac{1}{\sqrt{2}} \big(|i\rangle + |i \oplus s \rangle \big) \Big) \\ &= \frac{1}{\sqrt{2^{n+1}}} \left(\sum_{j \in \{0,1\}^n} (-1)^{i \bullet j} |j\rangle + \sum_{j \in \{0,1\}^n} (-1)^{(i \oplus s) \bullet j} |j\rangle \right) \\ &= \frac{1}{\sqrt{2^{n+1}}} \sum_{j \in \{0,1\}^n} (-1)^{i \bullet j} \big(1 + (-1)^{s \bullet j} \big) |j\rangle. \end{aligned}$$

Note that $|j\rangle$ has nonzero amplitude if $s \bullet j = 0 \mod 2$. Measuring the state gives a uniformly random element from the set $\{j | s \bullet j = 0 \mod 2\}$. Accordingly, we get a linear equation $s \bullet i = 0 \mod 2$

that gives information about s. We repeat this algorithm until we have obtained n-1 **independent** linear equations involving s

$$\begin{bmatrix} j_{n-1}^{(1)} & j_{n-2}^{(1)} & \cdots & j_{0}^{(1)} \\ j_{n-1}^{(2)} & j_{n-2}^{(2)} & \cdots & j_{0}^{(2)} \\ \vdots & \vdots & \ddots & \vdots \\ j_{n-1}^{(n-1)} & j_{n-2}^{(n-1)} & \cdots & j_{0}^{(n-1)} \end{bmatrix} \begin{bmatrix} s_{n-1} \\ s_{n-2} \\ \vdots \\ s_{0} \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ \vdots \\ 0 \end{bmatrix} \mod 2.$$

The solutions to these equations will be 0^n and the correct *s*, which we can compute efficiently by a classical algorithm (Gaussian elimination modulo 2). This can be done by means of a classical circuit of size roughly $O(n^3)$.

Note that $|j\rangle$ has nonzero amplitude if $s \bullet j = 0 \mod 2$. Measuring the state gives a uniformly random element from the set $\{j \mid s \bullet j = 0 \mod 2\}$. Accordingly, we get a linear equation

 $s \bullet j = 0 \mod 2$

that gives information about *s*. We repeat this algorithm until we have obtained n - 1 independent linear equations involving *s*

$$\begin{bmatrix} j_{n-1}^{(1)} & j_{n-2}^{(1)} & \cdots & j_{0}^{(1)} \\ j_{n-1}^{(2)} & j_{n-2}^{(2)} & \cdots & j_{0}^{(2)} \\ \vdots & \vdots & \ddots & \vdots \\ j_{n-1}^{(n-1)} & j_{n-2}^{(n-1)} & \cdots & j_{0}^{(n-1)} \end{bmatrix} \begin{bmatrix} s_{n-1} \\ s_{n-2} \\ \vdots \\ s_{0} \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ \vdots \\ 0 \end{bmatrix} \mod 2.$$

The solutions to these equations will be 0^n and the correct *s*, which we can compute efficiently by a classical algorithm (Gaussian elimination modulo 2). This can be done by means of a classical circuit of size roughly $O(n^3)$.

Note that $|j\rangle$ has nonzero amplitude if $s \bullet j = 0 \mod 2$. Measuring the state gives a uniformly random element from the set $\{j \mid s \bullet j = 0 \mod 2\}$. Accordingly, we get a linear equation

 ${\pmb{s}} \bullet {\pmb{j}} = 0 \ \mathrm{mod} \ 2$

that gives information about *s*. We repeat this algorithm until we have obtained n - 1 independent linear equations involving *s*

$$\begin{bmatrix} j_{n-1}^{(1)} & j_{n-2}^{(1)} & \cdots & j_{0}^{(1)} \\ j_{n-1}^{(2)} & j_{n-2}^{(2)} & \cdots & j_{0}^{(2)} \\ \vdots & \vdots & \ddots & \vdots \\ j_{n-1}^{(n-1)} & j_{n-2}^{(n-1)} & \cdots & j_{0}^{(n-1)} \end{bmatrix} \begin{bmatrix} s_{n-1} \\ s_{n-2} \\ \vdots \\ s_{0} \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ \vdots \\ 0 \end{bmatrix} \mod 2.$$

The solutions to these equations will be 0^n and the correct *s*, which we can compute efficiently by a classical algorithm (Gaussian elimination modulo 2). This can be done by means of a classical circuit of size roughly $O(n^3)$.

Note that $|j\rangle$ has nonzero amplitude if $s \bullet j = 0 \mod 2$. Measuring the state gives a uniformly random element from the set $\{j \mid s \bullet j = 0 \mod 2\}$. Accordingly, we get a linear equation

 $s \bullet j = 0 \mod 2$

that gives information about *s*. We repeat this algorithm until we have obtained n - 1 independent linear equations involving *s*

$$\begin{bmatrix} j_{n-1}^{(1)} & j_{n-2}^{(1)} & \cdots & j_{0}^{(1)} \\ j_{n-1}^{(2)} & j_{n-2}^{(2)} & \cdots & j_{0}^{(2)} \\ \vdots & \vdots & \ddots & \vdots \\ j_{n-1}^{(n-1)} & j_{n-2}^{(n-1)} & \cdots & j_{0}^{(n-1)} \end{bmatrix} \begin{bmatrix} s_{n-1} \\ s_{n-2} \\ \vdots \\ s_{0} \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ \vdots \\ 0 \end{bmatrix} \mod 2.$$

The solutions to these equations will be 0^n and the correct *s*, which we can compute efficiently by a classical algorithm (Gaussian elimination modulo 2). This can be done by means of a classical circuit of size roughly $\mathcal{O}(n^3)$.

Note that if the j's you have generated at some point span a space of size 2^k , for some k < n-1, then the probability that your next run of the algorithm produces a j that is linearly independent of the earlier ones, is $(2^n - 2^k)/2^n \ge 1/2$. Hence an expected number of $\mathcal{O}(n)$ runs of the algorithm suffices to find n-1 linearly independent j's. Simon's algorithm thus finds s using an expected number of $\mathcal{O}(n)$ x_c -queries and polynomially many other operations.



Note that if the j's you have generated at some point span a space of size 2^k , for some k < n-1, then the probability that your next run of the algorithm produces a j that is linearly independent of the earlier ones, is $(2^n - 2^k)/2^n \ge 1/2$. Hence an expected number of $\mathcal{O}(n)$ runs of the algorithm suffices to find n-1 linearly independent j's. Simon's algorithm thus finds s using an expected number of $\mathcal{O}(n)$ x_i -queries and polynomially many other operations.



Note that if the j's you have generated at some point span a space of size 2^k , for some k < n-1, then the probability that your next run of the algorithm produces a j that is linearly independent of the earlier ones, is $(2^n - 2^k)/2^n \ge 1/2$. Hence an expected number of $\mathcal{O}(n)$ runs of the algorithm suffices to find n-1 linearly independent j's. Simon's algorithm thus finds s using an expected number of $\mathcal{O}(n)$ x_i -queries and polynomially many other operations.



Figure 1: Quantum circuit for Simon's algorithm

イロン イヨン イヨン ・

Example

Let f be a periodic function of 2 qubits given by

$$f(x_1, x_2) = (x_1 \oplus x_2, x_1 \oplus x_2) \qquad \forall x_1, x_2 \in \{0, 1\}.$$

The quantum circuit to solve the problem is:



Figure 2: Quantum circuit for Simon's algorithm in this example

Example (Cont'd)

To check the four CNOT operations indeed provide the oracle U_{f} , we note that by writing $|x\rangle = |x_1x_2\rangle$ and $|y\rangle = |y_1\rangle|y_2\rangle$, we have

 $CNOT_{2,4}CNOT_{2,3}CNOT_{1,4}CNOT_{1,3}|x\rangle|y\rangle$ $= CNOT_{2,4}CNOT_{2,3}CNOT_{1,4}CNOT_{1,3}|x_1\rangle|x_2\rangle|y_1\rangle|y_2\rangle$ $= CNOT_{2,4}CNOT_{2,3}CNOT_{1,4}|x_1\rangle|x_2\rangle|x_1\oplus y_1\rangle|y_2\rangle$ $= CNOT_{2,4}CNOT_{2,3}|x_1\rangle|x_2\rangle|x_1\oplus y_1\rangle|x_1\oplus y_2\rangle$ $= CNOT_{2,4}|x_1\rangle|x_2\rangle|x_1\oplus x_2\oplus y_1\rangle|x_1\oplus y_2\rangle$ $= |x_1\rangle|x_2\rangle|x_1\oplus x_2\oplus y_1\rangle|x_1\oplus x_2\oplus y_2\rangle = |x\rangle|y\oplus f(x)\rangle$ $= Q_f|x\rangle|y\rangle.$

イロン イヨン イヨン ・

§4.3.1 Upper bound

Let us first sketch a classical randomized algorithm that solves Simon's problem using $\mathcal{O}(\sqrt{2^n})$ queries. Our algorithm will make T randomly chosen distinct queries i_1, \dots, i_T , for some T to be determined later. If there is a collision among those queries (that is, $x_{i_k} = x_{i_\ell}$ for some $k \neq \ell$), then we are done, because then we know $i_k = i_\ell \mod s$, equivalently $s = i_k \oplus i_\ell$. How large should T be such イロト イヨト イヨト イヨト

§4.3.1 Upper bound

Let us first sketch a classical randomized algorithm that solves Simon's problem using $\mathcal{O}(\sqrt{2^n})$ queries. Our algorithm will make T randomly chosen distinct queries i_1, \dots, i_T , for some T to be determined later. If there is a collision among those queries (that is, $x_{i_k} = x_{i_\ell}$ for some $k \neq \ell$), then we are done, because then we know $i_k = i_\ell \mod s$, equivalently $s = i_k \oplus i_\ell$. How large should T be such that we are likely to see a collision in case $s \neq 0^n$ (there will not be any collisions if $s = 0^n$? There are $C_2^T = \frac{T(T-1)}{2} \approx T^2/2$ pairs in our sequence that could be a collision, and the probability for a fixed pair to form a collision is $1/2^{n-1}$; thus the expected number of collisions in our sequence will be roughly $T^2/2^n$. If we choose (日) (四) (三) (三) (三) (三)

§4.3.1 Upper bound

Let us first sketch a classical randomized algorithm that solves Simon's problem using $\mathcal{O}(\sqrt{2^n})$ queries. Our algorithm will make T randomly chosen distinct queries i_1, \dots, i_T , for some T to be determined later. If there is a collision among those queries (that is, $x_{i_k} = x_{i_\ell}$ for some $k \neq \ell$), then we are done, because then we know $i_k = i_\ell \mod s$, equivalently $s = i_k \oplus i_\ell$. How large should T be such that we are likely to see a collision in case $s \neq 0^n$ (there will not be any collisions if $s = 0^n$? There are $C_2^T = \frac{T(T-1)}{2} \approx T^2/2$ pairs in our sequence that could be a collision, and the probability for a fixed pair to form a collision is $1/2^{n-1}$; thus the expected number of collisions in our sequence will be roughly $T^2/2^n$. If we choose $T = \sqrt{2^n}$, we expect to have roughly 1 collision in our sequence, which is good enough to find s. (日) (四) (三) (三) (三) (三)

Chapter 4. Simon's Algorithm

§4.3 Classical Algorithms for Simon's Problem

Of course, an expected value of 1 collision does not mean that we will have at least one collision with high probability, but a slightly more involved calculation shows the latter statement as well.

4 B K 4 B K

§4.3.2 Lower bound

Simon proved that any classical randomized algorithm that finds *s* with high probability needs to make $\Omega(\sqrt{2^n})$ queries, so the above classical algorithm is essentially optimal. This was the first proven exponential separation between quantum algorithms and classical bounded-error algorithms (let us stress again that this does not prove an exponential separation in the usual circuit model, because we are counting queries rather than ordinary operations here). Simon's algorithm inspired Shor to his factoring algorithm.

We prove a lower bound for the decision version of Simon's problem: **Given**: input $x = (x_0, \dots, x_{N-1})$, where $N = 2^n$ and $x_i \in \{0, 1\}^n$. **Promise**: there exists $s \in \{0, 1\}^n$ such that $x_i = x_j$ if and only if $(i = j \text{ or } i = j \oplus s)$.

Task: decide whether $s = 0^n$.

(a)

§4.3.2 Lower bound

Simon proved that any classical randomized algorithm that finds *s* with high probability needs to make $\Omega(\sqrt{2^n})$ queries, so the above classical algorithm is essentially optimal. This was the first proven exponential separation between quantum algorithms and classical bounded-error algorithms (let us stress again that this does not prove an exponential separation in the usual circuit model, because we are counting queries rather than ordinary operations here). Simon's algorithm inspired Shor to his factoring algorithm.

We prove a lower bound for the decision version of Simon's problem: **Given**: input $x = (x_0, \dots, x_{N-1})$, where $N = 2^n$ and $x_i \in \{0, 1\}^n$. **Promise**: there exists $s \in \{0, 1\}^n$ such that $x_i = x_j$ if and only if $(i = j \text{ or } i = j \oplus s)$.

Task: decide whether $s = 0^n$.

イロト イヨト イヨト イヨト

§4.3.2 Lower bound

Simon proved that any classical randomized algorithm that finds *s* with high probability needs to make $\Omega(\sqrt{2^n})$ queries, so the above classical algorithm is essentially optimal. This was the first proven exponential separation between quantum algorithms and classical bounded-error algorithms (let us stress again that this does not prove an exponential separation in the usual circuit model, because we are counting queries rather than ordinary operations here). Simon's algorithm inspired Shor to his factoring algorithm.

We prove a lower bound for the decision version of Simon's problem: **Given**: input $x = (x_0, \dots, x_{N-1})$, where $N = 2^n$ and $x_i \in \{0, 1\}^n$. **Promise**: there exists $s \in \{0, 1\}^n$ such that $x_i = x_j$ if and only if $(i = j \text{ or } i = j \oplus s)$.

Task: decide whether $s = 0^n$.

ヘロン 人間 とうほう 人間 と

Consider the input distribution μ that is defined as follows. With probability 1/2, x is a uniformly random permutation of $\{0, 1\}^n$; this corresponds to the case $s = 0^n$. With probability 1/2, we pick a nonzero string s at random, and for each pair $(i; i \oplus s)$, we pick a unique value for $x_i = x_{i \oplus s}$ at random. If there exists a randomized

日とくほとくほど

Consider the input distribution μ that is defined as follows. With probability 1/2, x is a uniformly random permutation of $\{0, 1\}^n$; this corresponds to the case $s = 0^n$. With probability 1/2, we pick a nonzero string s at random, and for each pair $(i; i \oplus s)$, we pick a unique value for $x_i = x_{i \oplus s}$ at random. If there exists a randomized *T*-query algorithm that achieves success probability $\ge 2/3$ under this input distribution μ , then there also is deterministic *T*-query algorithm that achieves success probability $\geq 2/3$ under μ (because the behavior of the randomized algorithm is an average over a number of deterministic algorithms). Now consider a deterministic algorithm

イロト イヨト イヨト イヨト

Consider the input distribution μ that is defined as follows. With probability 1/2, x is a uniformly random permutation of $\{0, 1\}^n$; this corresponds to the case $s = 0^n$. With probability 1/2, we pick a nonzero string s at random, and for each pair $(i; i \oplus s)$, we pick a unique value for $x_i = x_{i \oplus s}$ at random. If there exists a randomized *T*-query algorithm that achieves success probability $\ge 2/3$ under this input distribution μ , then there also is deterministic *T*-query algorithm that achieves success probability $\geq 2/3$ under μ (because the behavior of the randomized algorithm is an average over a number of deterministic algorithms). Now consider a deterministic algorithm with error $\leq 1/3$ under μ , that makes T queries to x. We want to show that $T = \Omega(\sqrt{2^n})$.

・ロト ・回ト ・ヨト ・ヨト

First consider the case $s = 0^n$. We can assume the algorithm never queries the same point twice. Then the T outcomes of the queries are T distinct *n*-bit strings, and each sequence of T strings is equally **likely.** Now consider the case $s \neq 0^n$. Suppose the algorithm queries

白 ト イヨ ト イヨト

First consider the case $s = 0^n$. We can assume the algorithm never queries the same point twice. Then the T outcomes of the queries are T distinct *n*-bit strings, and each sequence of T strings is equally likely. Now consider the case $s \neq 0^n$. Suppose the algorithm queries the indices i_1, \dots, i_T (this sequence depends on x) and gets outputs x_{i_1}, \cdots, x_{i_T} . Call a sequence of queries i_1, \cdots, i_T good if it shows a collision (that is, $x_{i_k} = x_{i_\ell}$ for some $k \neq \ell$), and bad otherwise. If the sequence of queries of the algorithm is good, then we can find s, since $i_k \oplus i_{\ell} = s$. On the other hand, if the sequence is bad, then each sequence of T distinct outcomes is equally likely - just as in the $s = 0^n$ case! We will now show that the probability of the bad

・ロッ ・回 ・ ・ ヨ ・ ・ ヨ ・

First consider the case $s = 0^n$. We can assume the algorithm never queries the same point twice. Then the T outcomes of the queries are T distinct *n*-bit strings, and each sequence of T strings is equally likely. Now consider the case $s \neq 0^n$. Suppose the algorithm queries the indices i_1, \dots, i_T (this sequence depends on x) and gets outputs x_{i_1}, \cdots, x_{i_T} . Call a sequence of queries i_1, \cdots, i_T good if it shows a collision (that is, $x_{i_k} = x_{i_\ell}$ for some $k \neq \ell$), and bad otherwise. If the sequence of queries of the algorithm is good, then we can find s, since $i_k \oplus i_{\ell} = s$. On the other hand, if the sequence is bad, then each sequence of T distinct outcomes is equally likely - just as in the $s = 0^n$ case! We will now show that the probability of the bad case is very close to 1 for small T.

・ロッ ・回 ・ ・ ヨ ・ ・ ヨ ・

If i_1, \dots, i_{k-1} is bad, then we have excluded at most C_2^{k-1} possible values of s (namely all values $i_j \oplus i_{j'}$ for all distinct $j, j' \in [k-1]$), and all other values of s are equally likely. The probability that the next query i_k makes the sequence good, is the probability that $x_{i_k} = x_{i_j}$ for some j < k, equivalently, that the set $S = \{i_k \oplus i_j | j < k\}$ happens to contain the string s. However, S has only k-1 members, while there are $2^n - 1 - C_2^{k-1}$ equally likely remaining possibilities for s. This means that the probability that the sequence is still bad after query i_k is made, is very close to 1. In formulas:

$$\Pr[i_1, \cdots, i_T \text{ is bad}] = \prod_{k=2}^T \Pr[i_1, \cdots, i_k \text{ is bad} | i_1, \cdots i_{k-1} \text{ is bad}]$$
$$= \prod_{k=2}^T \left(1 - \frac{k-1}{2^n - 1 - C_2^{k-1}} \right) \ge 1 - \sum_{k=2}^T \frac{k-1}{2^n - 1 - C_2^{k-1}},$$

here we used the fact that $(1 - a)(1 - b) \ge 1 - (a + b)$ if $a, b \ge 0$.

▶ ▲ 臣 ▶ ▲ 臣 ▶

If i_1, \dots, i_{k-1} is bad, then we have excluded **at most** C_2^{k-1} possible values of *s* (namely all values $i_j \oplus i_{j'}$ for all distinct $j, j' \in [k-1]$), and all other values of *s* are equally likely. The probability that the next query i_k makes the sequence good, is the probability that $x_{i_k} = x_{i_j}$ for some j < k, equivalently, that the set $S = \{i_k \oplus i_j | j < k\}$ happens to contain the string *s*. However, *S* has only k-1 members, while there are $2^n - 1 - C_2^{k-1}$ equally likely remaining possibilities for *s*. This means that the probability that the sequence is still bad after query i_k is made, is very close to 1. In formulas:

$$\Pr[i_1, \cdots, i_T \text{ is bad}] = \prod_{k=2}^{T} \Pr[i_1, \cdots, i_k \text{ is bad} | i_1, \cdots, i_{k-1} \text{ is bad}]$$
$$= \prod_{k=2}^{T} \left(1 - \frac{k-1}{2^n - 1 - C_2^{k-1}} \right) \ge 1 - \sum_{k=2}^{T} \frac{k-1}{2^n - 1 - C_2^{k-1}},$$

here we used the fact that $(1 - a)(1 - b) \ge 1 - (a + b)$ if $a, b \ge 0$.

回 と く ヨ と く ヨ と …

If i_1, \dots, i_{k-1} is bad, then we have excluded **at most** C_2^{k-1} possible values of *s* (namely all values $i_j \oplus i_{j'}$ for all distinct $j, j' \in [k-1]$), and all other values of *s* are equally likely. The probability that the next query i_k makes the sequence good, is the probability that $x_{i_k} = x_{i_j}$ for some j < k, equivalently, that the set $S = \{i_k \oplus i_j | j < k\}$ happens to contain the string *s*. However, *S* has only k-1 members, while there are $2^n - 1 - C_2^{k-1}$ equally likely remaining possibilities for *s*. This means that the probability that the sequence is still bad after query i_k is made, is very close to 1. In formulas:

$$\Pr[i_1, \cdots, i_T \text{ is bad}] = \prod_{k=2}^{T} \Pr[i_1, \cdots, i_k \text{ is bad} | i_1, \cdots i_{k-1} \text{ is bad}]$$
$$= \prod_{k=2}^{T} \left(1 - \frac{k-1}{2^n - 1 - C_2^{k-1}} \right) \ge 1 - \sum_{k=2}^{T} \frac{k-1}{2^n - 1 - C_2^{k-1}},$$

here we used the fact that $(1 - a)(1 - b) \ge 1 - (a + b)$ if $a, b \ge 0$.

伺 ト イヨト イヨト

If i_1, \dots, i_{k-1} is bad, then we have excluded **at most** C_2^{k-1} possible values of *s* (namely all values $i_j \oplus i_{j'}$ for all distinct $j, j' \in [k-1]$), and all other values of *s* are equally likely. The probability that the next query i_k makes the sequence good, is the probability that $x_{i_k} = x_{i_j}$ for some j < k, equivalently, that the set $S = \{i_k \oplus i_j | j < k\}$ happens to contain the string *s*. However, *S* has only k-1 members, while there are $2^n - 1 - C_2^{k-1}$ equally likely remaining possibilities for *s*. This means that the probability that the sequence is still bad after query i_k is made, is very close to 1. In formulas:

$$\Pr[i_1, \cdots, i_T \text{ is bad}] = \prod_{k=2}^{T} \Pr[i_1, \cdots, i_k \text{ is bad} | i_1, \cdots i_{k-1} \text{ is bad}]$$
$$= \prod_{k=2}^{T} \left(1 - \frac{k-1}{2^n - 1 - C_2^{k-1}} \right) \ge 1 - \sum_{k=2}^{T} \frac{k-1}{2^n - 1 - C_2^{k-1}},$$

here we used the fact that $(1 - a)(1 - b) \ge 1 - (a + b)$ if $a, b \ge 0$.

Note that $2^n - 1 - C_2^{k-1} \approx 2^n$ as long as $k \ll \sqrt{2^n}$ and $\sum (k-1) =$ $\frac{T(T-1)}{2} \approx T^2/2$. Hence we can approximate the last term in the formula by $1 - T^2/2^{n+1}$ if $k \ll \sqrt{2^n}$. Accordingly, if $T \ll \sqrt{2^n}$ then

• • = • • = •

Note that $2^n - 1 - C_2^{k-1} \approx 2^n$ as long as $k \ll \sqrt{2^n}$ and $\dot{\Sigma}(k-1) =$ $\frac{T(T-1)}{2} \approx T^2/2$. Hence we can approximate the last term in the formula by $1 - T^2/2^{n+1}$ if $k \ll \sqrt{2^n}$. Accordingly, if $T \ll \sqrt{2^n}$ then with probability nearly 1 (probability taken over the distribution μ) the algorithm's sequence of queries is bad. If it gets a bad sequence, it cannot "see" the difference between the $s = 0^n$ case and the $s \neq 0^n$ case, since both cases result in a uniformly random sequence of Tdistinct *n*-bit strings as answers to the *T* queries. This shows that

(ロ) (同) (三) (三) (三)

Note that $2^n - 1 - C_2^{k-1} \approx 2^n$ as long as $k \ll \sqrt{2^n}$ and $\dot{\sum} (k-1) =$ $\frac{T(T-1)}{2} \approx T^2/2$. Hence we can approximate the last term in the formula by $1 - T^2/2^{n+1}$ if $k \ll \sqrt{2^n}$. Accordingly, if $T \ll \sqrt{2^n}$ then with probability nearly 1 (probability taken over the distribution μ) the algorithm's sequence of queries is bad. If it gets a bad sequence, it cannot "see" the difference between the $s = 0^n$ case and the $s \neq 0^n$ case, since both cases result in a uniformly random sequence of Tdistinct n-bit strings as answers to the T queries. This shows that T has to be $\sqrt{2^n}$ in order to enable the algorithm to get a good sequence of queries with high probability.

(ロ) (同) (三) (三) (三)